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Amendment to rule 1-01 of article 1 and rule 5A-01 of article 5A of regulation S-X

United States. Securities and Exchange Commission

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For IMMEDIATE Release Tuesday, August 19, 1958

SECURITIES AND EXCHANGE COMMISSION
Washington 25, D. C.

SECURITIES ACT OF 1933

Release No. 3956

SECURITIES EXCHANGE ACT OF 1934

Release No. 5759

PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

Release No. 13805

INVESTMENT COMPANY ACT OF 1940

Release No. 2757

ACCOUNTING SERIES

Release No. 80

AMENDMENT TO RULE 1-01 OF ARTICLE 1 AND RULE 5A-01
OF ARTICLE 5A OF REGULATION S-X

Purpose of Amendment

This amendment to Rule 1-01 of Article 1 and Rule 5A-01 of Article 5A strikes out the reference in these rules to form S-11 because that form has been rescinded since the last printing of Regulation S-X.

Text of Amendment of Rules

Paragraph (a)(1) of Rule 1-01 as amended reads as follows:

"(1) Registration statements under the Securities Act of 1933, filed on form S-1, S-2, S-3, S-4, S-5 or S-6, except as otherwise specifically provided in such forms;"

Paragraph (a) of Rule 5A-01 as amended reads as follows:

"(a) Registration statements on form S-2 or form S-3, except as otherwise specifically provided in such forms, under the Securities Act of 1933;"

Statutory Basis

This action is taken pursuant to the Securities Act of 1933, particularly Sections 6, 7, 8, 10 and 19(a) thereof, the Securities Exchange Act of 1934, particularly Sections 12, 13, 15(d) and 23(a) thereof, the Public Utility Holding Company Act of 1935, particularly Sections 5(b), 14 and 20(a) thereof, and the Investment Company Act of 1940, particularly Sections 8, 30, 31(c) and 38(a) thereof.

Since the foregoing action merely deletes reference to form S-11 which has previously been rescinded, the Commission finds that notice and procedure pursuant to the Administrative Procedure Act is not necessary. For the same reason the foregoing action may be made effective immediately. Accordingly such action shall become effective upon publication August 19, 1958.

By the Commission.

Orval L. DuBois
Secretary