## University of Mississippi

## **eGrove**

Guides, Handbooks and Manuals

American Institute of Certified Public Accountants (AICPA) Historical Collection

1955

## Bibliography of Material Relating to the Securities and Exchange Commission from 1933 to Dec. 1955

American Institute of Accountants

Follow this and additional works at: https://egrove.olemiss.edu/aicpa\_guides



Part of the Accounting Commons, and the Taxation Commons

## **Recommended Citation**

American Institute of Accountants, "Bibliography of Material Relating to the Securities and Exchange Commission from 1933 to Dec. 1955" (1955). Guides, Handbooks and Manuals. 1006. https://egrove.olemiss.edu/aicpa\_guides/1006

This Book is brought to you for free and open access by the American Institute of Certified Public Accountants (AICPA) Historical Collection at eGrove. It has been accepted for inclusion in Guides, Handbooks and Manuals by an authorized administrator of eGrove. For more information, please contact egrove@olemiss.edu.

Bibliography of material relating to the Securities and Exchange Commission from 1933 to Dec. 1955.

- Bibliography of Material Relating to the Securities and Exchange Commission
- Accountants and the S.E.C. (Editorial) Journal of accountancy, Feb. 1937, p. 84-6; Aug. 1937, p. 85.
- Accountants and the securities act. Bulletin of the American institute of accountants, Aug. 15, 1933, p. 3-5.
- Accountants dissent on many of SEC's proposed changes in Regulation S-X; a summary by the editorial staff of the Journal of accountancy, Journal of accountancy, v. 90, Oct. 1950. p. 312-20.
- Accountants! liability under the Securities act. New York certified public accountant, v. 21, Nov. 1951, p. 763-5.
- Accountants should discuss accounting matters with SEC. (Editorial) Journal of accountancy, v. 93, April 1952, p.418.
- Accounting and regulation. (Editorial) Journal of accountancy, April 1943, p. 292-3. v. 81, April 1946, p. 265-7.
- Accounting and regulatory policy. (Editorial) Journal of accountancy, July 1943, p. 4-6.
- Accounting and the S.E.C. (Editorial) Journal of accountancy, May 1937, p. 323-4; July 1939, p. 2; June 1942, p. 483; Aug. 1943, p. 92.
- Accounting features in securities act not new. St. John's analyst, Nov. 1933, p. 9.
- Accounting for stock options. (Editorial) Journal of accountancy, v. 95, April 1953, p. 423.
- Accounting standards and the S.E.C. (Editorial) Journal of accountancy, Nov. 1940, p. 386-8.
- Administration of federal securities act. (Editorial) Journal of accountancy, July 1933, p. 7.
- American institute of accountants. Accounting features of the investment company act of 1940; a summary by the staff of the American institute of accountants. Journal of accountancy, Oct. 1940, p. 336-8.
- American institute of accountants. Cooperation with S.E.C. Journal of accountancy, June 1937, p. 434-43.
- American institute of accountants. Objection to amendment of securities acts; statement in opposition to a proposal of the Securities and exchange commission to amend liability provisions of the acts. Journal of accountancy, Jan. 1942, p. 75-8.

- American institute of accountants. Report of the special committee on cooperation with the Securities and exchange commission. (In its 1934 year book, p. 274-5.)
- American institute of accountants. Committee on professional ethics. Statement to the S.E.C. on professional disciplinary procedures. Journal of accountancy, July 1940, p. 58-63.
- American institute of accountants. Research department. Suggestions for operating under the SEC's new rules governing financial statements. Journal of accountancy, v. 91, Feb. 1951, p.230-7.
- American institute of accountants. Special committee. Investment trust bill and accountancy; a statement presented by the American institute of accountants before a sub-committee, Senate committee on banking and currency, on April 22, 1940. Journal of accountancy, May 1940, p. 348-53.
- American institute of accountants. Special committee on cooperation with Securities and exchange commission. Report. New York, American institute of accountants, May 14, 1940. 7p. (In United States. Securities and exchange commission. Sixth annual report, June 30, 1940. Appendix 11, p. 376-81.)
- American institute of accountants and the American society of certified public accountants. Financial statements under the Securities act and the Securities exchange act; report submitted to the Securities and exchange commission by a joint committee representing the American institute of accountants and the American society of certified public accountants, Aug. 3, 1934. New York, American institute of accountants, 1934. 14p.
- Anderson, William H. Public utility holding companies: the death sentence and the future. Journal of land and public utility economics, v. 23, Aug. 1947, p. 244-54.
- Andrews, Frederick B. Public accountant and the investing public. Journal of accountancy, Jan. 1934, p. 55-65.
- Arthur, John F. Stuart. Securities and exchange commission and current accounting practice. Texas accountant, Feb. 1939, p. 1, 4-6.
- Atkins, Willard E., and others. Regulation of the security markets. Washington, D.C., Brookings institution, 1946. 126p.
- Audits required in proposed regulation of securities market. Bulletin of the American institute of accountants, Feb. 15, 1934, p. 7-8.
- Bane, Baldwin B. Securities act of 1933. Certified public accountant, Oct. 1933, p. 587-93.
- Barnett, George E. Securities act of 1933 and the British companies act. Harvard business review, Oct. 1934, p. 1-18.

- Barr, Andrew. Accounting research in the Securities and exchange commission. Accounting review, March 1940, p. 89-94.
- Barr, Andrew. Some comments on financial statement requirements of the Securities and exchange commission. Illinois certified public accountant, v. 16, June 1954, p. 14-19.
- Bartholet, Paul. Investment companies and their regulation. (Address...before 25th annual convention of the National association of securities commissioners, St. Paul, Minn., Sept. 3, 1942). 7p.
- Barton, Roger. Independent audits for investors. Journal of accountancy, Aug. 1933, p. 91-101.
- Bates, George E. Some effects of the Securities act upon investment banking practices. Law and contemporary problems, Jan. 1937. pl 72-9.
- Bates, George E. Waiting period under the Securities act. Harvard business review, Winter number 1937, p. 203-13.
- Bell, William H. Recent pronouncements of the Securities and exchange commission on accounting subjects. Journal of accountancy, June 1940, p. 430-7.
- Benton, Frederic E. Control of accounting as a means of regulation. Public utilities fortnightly, v. 38, Aug. 29, 1946, p. 277-85.
- Black, E. G. United States securities act of 1933 and the accountant. Canadian chartered accountant, Aug. 1933, p. 190-4.
- Blough, Carman G. Accountant's problems arising under SEC's new revision of Regulation 8-X. Journal of accountancy, v. 91, Feb. 1951, p. 238-42.
- Blough, Carman G. Outline of lecture on effect of SEC and Treasury department policies on application of accounting principles. New York certified public accountant, v. 15, June 1945, p. 293-5.
- Blough, Carman G. Some accounting problems of the Securities and exchange commission. New York certified public accountant, April 1937, p. 3-14.
- Boeckel, Richard M. Capital market and the Securities act. Washington, D.C., Editorial research reports, v. 2, 1933, no. 11, p. 191-207.
- Bowlby, J. M. SEC accounting regulation S-X. (In American gas association. Annual convention proceedings, 1940. p. 369-74.)

- Broad, Samuel J. Cooperation with the Securities and exchange commission. Journal of accountancy, Aug. 1938, p. 78-89.
- Broad, Samuel J. Recent developments in accounting and auditing. Journal of accountancy, v. 78, Sept. 1944, p. 186-93.
- Brundage, Percival F. American practice; with particular reference to the Securities and exchange commission; summer course, Christ Church, Oxford, 1947. London, Institute of chartered accountants in England and Wales. 22p. Accountant (Eng.), v. 118, June 19, 1948, p. 480-4; June 26, 1948, p. 498-502.
- Brundage, Percival F. Influence of government regulation on development of today's accounting practices. Journal of accountancy, v. 90, Nov. 1950, p. 384-91.
- Burns, John J. Securities and exchange commission; some of its problems. (In Investment bankers association of America. Proceedings, 1935. p. 11-23.)
- Caffrey, James J. Plain talk in accounting. (In American institute of accountants. Challenges to the accounting profession. 1947. p. 31-5.)
- Cameron, Arthur T. Accountant's responsibility and liability under the national securities act; address delivered at the fifth annual Wharton alumni institute of business, May 17, 1934. Philadelphia, Pa., University of Pennsylvania, Bureau of publicity, 1934. 5p.
- Cherrington, Homer V. Regulation of securities markets. (In his Business organization and finance. c1948. p. 192+212.)
- Chicago stock exchange requires independent audit for listing. Bulletin of the American institute of accountants, April 20, 1933; p. 3-4.
- Christensen, John W. Attorney's view of accounting and federal securities regulation. Ohio certified public accountant, v. 12, Summer 1953, p. 10-15.
- Civil liability under federal securities act. Yale law journal, Nov. 1940, p. 90-106.
- Clemens, Eli Winston. Public utility holding company act. (In his Economics and public utilities. c1950. p. 505-21.)
- Commerce and industry association of New York. New S.E.C. proxy rules place needless and additional burdens on American business. New York, Commerce and industry association of New York, Jan. 1943. 8p.

- Controllers institute of America. Great mass of detail, in proxies, too much for stockholders to digest. (Letter sent to the Securities and exchange commission, September 17, 1942, by Stanley W. Dubig, chairman) Controller, Oct. 1942, p. 500-2.
- Controllers institute of America. Statement of comment and suggestion to the Senate of the United States Committee on banking and currency, in the matter of "National securities exchange act of 1934" S 2693; by Edwin F. Chinlund.

  New York, Controllers institute of America, 1934. 14p.
- Cook, Donald C. SEC plans under section 30 of the Holding company act. Public utilities fortnightly, v. 50, Sept. 11, 1952, p. 333-46.
- Couchman, Charles B. Accounting by edict. (In American gas association. Annual convention proceedings, 1939. p. 191-6.)
- Crane, Ralph T. Practical effects of the Securities act. Journal of accountancy, Nov. 1935, p. 370-4.
  - Crawford, Arthur W. Controlling the stock exchanges. Credit and financial management, May 1934, p. 18-19.
- Criticism of Securities act at conference of American management association Chairman Landis of SEC cites efforts to reduce registration expenses also refers to easing of requirements in newspaper advertisements. Commercial and financial chronicle, Oct. 12, 1935, p. 2359-60.
- Darrell, Norris. Tax treatment of payments under section 16(b) of the Securities exchange act of 1934. Harvard law review, v. 64, Nov. 1950, p. 80-97.
  - Dean, Arthur H. Economic and legal aspects of the federal securities act of 1933. (In Financial advertisers associations. Proceedings, eighteenth annual convention, New York city, September 11-14, 1933. p. 70-80.)
  - Dean, Arthur H. Federal securities act. Fortune, Aug. 1933, p. 50-2, 97-102, 104, 106.
  - Dean, Arthur H. Federal securities act: an examination by a critic. New York times, Oct. 15, 1933.
- Dean, Arthur H. Securities act and the Securities exchange act; address delivered at a meeting of the Massachusetts society of certified public accountants, Boston, Mass., Oct. 22, 1934. 35p.
  - Demmler, Ralph H. Regulation of the issue and marketing of securities. (In American institute of accountants. 1954 annual meeting papers. cl954. p. xi-xviii.)

- Discrepancies in accounting requirements. (Editorial) Journal of accountancy, v. 82, July 1946, p. 6.
- Discussion of proposed legislation for "Blue sky" audits. Bulletin of the American institute of accountants, March 15, 1933, p. 6-9.
- Dodd, E. Merrick. Amending the securities act the American bar association committee's proposals. Yale law journal, Dec. 1935, p. 199-225.
- Doyle, Thomas F. Utility holding company and the "death sentence." Public utilities fortnightly, July 17, 1941, p. 75-84.
- Ellis, Paul W. and Rogers, Clyde L. Effects of SEC requirements on financing. Conference board business record, v. 2, Aug. 1945, p. 259-65.
- Emerson, Frank D., and Latcham, Franklin C. SEC proxy regulation: steps toward more effective stockholder participation. Yale law journal, v. 59, March 1950, p. 635-76.
- Extended liabilities under securities acts. (Editorial) Journal of accountancy, Nov. 1941, p. 386-7.
- Federal regulation of holding companies: the public utility act of 1935. Yale law journal, Jan. 1936, p. 468-95.
- Federal trade commission rulings under the Securities act.

  Bulletin of the American institute of accountants, Jan. 11, 1934, p. 20.
- Fortas, Abe. Securities act and corporate reorganizations. Law and contemporary problems, April 1937, p. 218-40.
- Frank, Jerome N. Corporate management and the SEC/ (In American management association. Problems in financing the modern corporation. c1940. p. 34-42.)
- Frank, Jerome N. Sin of perfectionism. (In American institute of accountants. Experiences with extensions of auditing procedure. 1940. p. 97-113.) Comments on address of Jerome N. Frank by George Cochrane. (In American institute of accountants. Experiences with extensions of auditing procedure. 1940. p. 114-15.)
- Frankfurter, Felix. Federal securities act. Fortune, Aug. 1933, p. 53-5, 106, 108-9, 111.
- Friedman, Daniel M. SEC regulation of corporate proxies. Harvard law review, v. 63, March 1950, p. 796-821.

- Friedman, Elisha. Holding company and other acts should be revised. Public utilities fortnightly, v. 37, Feb. 28, 1946, p. 267-81.
- Gardner, Marry B., Jr. SEC and valuation under chapter X of the Chandler act. University of Pennsylvania law review and American law register. Jan. 1943, p. 440-65.
- Gerstenberg, Charles W. Securities markets and their regulation. (In his Financial organization and management of business. ed. 3, rev. 1951. p. 310-36.)
- Goldschmidt, R. W. Regulation under the Securities act of 1933. Law and contemporary problems, Jan. 1937, p. 19-31.
- Gordon, Spencer. Accountants and the securities act; address delivered at the annual meeting of the American institute of accountants at New Orleans, Oct. 17, 1933. New York, American institute publishing co., inc., 1933. 28p. (Includes: Problems of accountants under the Securities act of 1933, by James Hall, p. 19-28.)
- Gordon, Spencer. Liability of accountants under Securities exchange act of 1934 (including amendments to Securities act of 1933). Journal of accountancy, Oct. 1934, p. 251-7.
- Graber, Paul J. Inadequate financial statements Securities and exchange commission, Securities exchange act of 1934 release no. 3822, June 7, 1946: Review of decision. Journal of accountancy, v. 82, Aug. 1946, p. 179-80.
- Goldsborough, George J., Jr. Preliminary survey of the pending S.E.C.-Otis litigation effect on regulation of over-the-counter securities transactions. George Washington law review, v. 18, June 1950, p. 510-40.
- Greater burden. (Editorial) Journal of accountancy, June 1933, p. 406-8.
- Greidinger, B. Bernard. Accounting requirements of the Securities and exchange commission for the preparation of financial statements. New York, Ronald press co., c1939. 517p.
- Greidinger, B. Bernard. Accounting requirements of the Securities and exchange commission, for the preparation of financial statements, including regulation S-X. New York, Ronald press co., c1940. 517p. and 52p.
- Greidinger, B. Bernard. Regulation of accounting practice: 1933-1953. Accounting forum, v. 24, May 1953, p. 29-35.
- Greidinger, B. Bernard. S.E.C. administrative policy on financial statements. Journal of accountancy, March 1942, p. 219-24.

- Greweling, Grover C. Securities act. National auditgram, Nov. 1935, p. 4-5, 11.
  - Hall, James. Problems of the accountants under the securities act of 1933; address delivered at the annual meeting of the American institute of accountants, New Orleans, October 17, 1933. Printed with: Gordon, Spencer. Accountants and the securities act. 1933. p. 19-28. Journal of accountancy, Dec. 1933, p. 452-61.
  - Hamel, Charles D. Securities act and the responsibility of the accountant. Certified public accountant, Oct. 1933, p. 594-9.
  - Hardee, Covington. Stock options and the "insider trading" provisions of the Securities exchange act. Harvard law review, v. 65, April 1952, p. 997-1009.
  - Haskell, John. Securities and exchange commission, the accountant, and the stock exchange. Journal of accountancy, April 1938, p. 293-302.
  - Haven, T. Kenneth. Investment banking under the Securities and exchange commission. Ann Arbor, Mich., University of Michigan, School of business administration, Bureau of business research, 1940. 154p. (Michigan business studies, v. 9, no. 3)
  - Healy, Robert E. Section 11 of the public utility holding company act. (Address before the Practising law institute, New York city, Nov. 5, 1941). Washington, D.C., Securities and exchange commission, 1941. 26 mimeo. pages.
- Henderson, A. I. Practice under the Securities act of 1933 and the Securities exchange act of 1934; from the viewpoint of the attorney. Journal of accountancy, Dec. 1934, p. 448-58.
- Herlands, William B. Criminal law aspects of the Securities act of 1933. New York, Commerce clearing house, inc., (1934).
  22p.
- Herskowitz, Hermann. Corporate financial statements under the Securities act. Wall street review, Aug. 30, 1933, p. 11, 23.
- Herwood, herman. Regulation of corporate practices. Certified public accountant, Sept. 1934, p. 544-6.
- Herwood, Herman. Status of the certified public accountant under the Securities act. Certified public accountant, Dec. 1933, p. 746-8.
- Hilliard, Edward H. Philosophy underlying the changes being requested in the securities acts. (In American institute of accountants. Accounting, auditing, and taxes, 1941. p. 200-8.)

- Hopkins, Francis William. Contingent liabilities and commitments with reference to the Securities and exchange commission.

  New York certified public accountant, June 1941, p. 562-5.
- Independence of accountants under Securities act. Bulletin of the American institute of accountants, Nov. 16, 1936, p. 19-21.
- Independence of auditors. (Editorial) Journal of accountancy, April 1940, p. 249-51.
- Inglis, John B. Newspaper editorial praised for opposing SEC's position that pension plan service costs be shown in annual statements. (Technical and professional notes) Journal of accountancy, v. 91, Jan. 1951, p. 129.
- Investment bankers association of America and others. Report on the conference with the Securities and exchange commission and its staff, on proposals for amending the Securities act of 1933 and the Securities exchange act of 1934, by representatives of Investment bankers association of America, National association of securities dealers, inc., New York curb exchange and New York stock exchange, July 30, 1941. 287p.
- Investment company act of 1940. Yale law journal, Jan. 1941, p. 440-57.
- Investment company act of 1940. Columbia law review, Feb. 1941, p. 269-95.
- Johnson, Adolph C. and Jackson, Andrew. Securities and exchange commission; its organization and functions under the Securities act of 1933. Law and contemporary problems, Jan. 1937, p. 3-18.
- Kaplan, Maurice C. and Reaugh, Daniel M. Accounting, reports to stockholders, and the S.E.C. Yale law journal, April 1939, p. 935-80. Accounting review, Sept. 1939, p. 203-36.
- Kaufmann, Jesse F. Accountant and the investment company act of 1940. New York certified public accountant, June 1941, p. 544-7.
- Kellogg, Howard L. S.E.C. looks at internal control. Internal auditor, v. 8, June 1951, p. 10-23.
- Kennedy, William F. Regulation of utility servicing under the holding company act of 1935. Journal of land and public utility economics, Feb. 1941, p. 27-38; May 1941, p. 171-87; Aug. 1941, p. 257-69.

- King, Earle C. Controller should take a more active part in his corporation's relations with the SEC. (Technical and professional notes) Journal of accountancy, v. 90, July 1950, p. 87.
- King, Earle C. Corporate controller's relation to the Decurities and exchange commission. Controller, v. 18, Dec. 1950, p. 539-43.
- King, Earle C. Meeting the accounting requirements of the Pecurities and exchange commission. (Address before the annual meeting of Pennsylvania institute of certified public accountants, Atlantic City, N. J., June 24, 1947) Philadelphia, Pa., Securities and exchange commission, 1947. 23p.
- King, Earle C. Professional ethics as viewed by the Securities and exchange commission. (In American institute of accountants. Better accounting through professional development. c1952. p. 111-17.)
- King, Earle C. What the SEC requires in financial statements filed with the Commission. Journal of accountancy, v. 84, Nov. 1947, p. 377-84.
- Knoll, Christopher H. Some accounting and auditing aspects of the Investment company act of 1940. Journal of accountancy, Feb. 1942, p. 113-30.
- Kripke, Homer. Accountants' financial statements and fact-finding in the law of corporate regulation. Yale law journal, May 1941, p. 1180-1205. Journal of accountancy, Sept. 1941, p. 201-20.
- Kuhn, C. John. Securities act and its effect upon the institutional investor. Law and contemporary problems, Jan. 1937, p. 80-8.
- Landis, James M. Federal securities act and regulations relating to the work and responsibility of the C.P.A. (In Addresses and discussion relating to the federal securities act and accounting requirements under the national industrial recovery act; presented before the eleventh annual fall conference of the New York state society of certified public accountants held at the Waldorf-Astoria, New York city, October 30, 1933, p. 5-18; discussion, p. 19-33; questions and answers, p. 33-50.)
- Landis, James M. Interpretation of rules for listing and issuance of securities. New York certified public accountant, Jan. 1935, p. 18-27; questions and answers, March 1935, p. 150-3.
- Landsman, Harry. "Securities" and the federal securities acts. Certified public accountant, March 1935, p. 165-70, 189.

- Lane, Chester T. Cooperation with the S.E.C. New York certified public accountant, April 1938, p. 5-11.
- Lasser, J. K., and Gerardi, J.A. Federal securities act procedure. New York, McGraw-Hill book co., inc., 1934. 368p.
- Lasser, J. K., and Gerardi, J.A. Relation of accountants to be federal securities act. New York, National association of cost accountants, July 15, 1936. (N.A.C.A. bulletin, v. 17, no. 22, section 1, p. 1289-1312.)
- Leighton, Philip Z. SEC v. commissioner. Taxes the tax magazine, v. 29, Oct. 1951, p. 628-31.
- Lesh, William Taft. Federal regulation of over-the-counter brokers and dealers in securities. Harvard law review, v. 59, Oct. 1946, p. 1237-75.
- Liability sections of securities act authoritatively discussed; Dr. James M. Landis of Federal trade commission addresses meeting of New York accountants cooperation urged upon profession. American accountant, Nov. 1933, p. 330-4.
- Liability provisions of Federal securities act of 1933 compared with those of British "Companies act, 1929" Merchants' association of New York points out that American act is far more drastic than British. Commercial and financial chronicle, Feb. 24, 1934, p. 1298-9.
- Lindquist, J.A. Securities acts and the S.E.C. Ohio certified public accountant, v. 13, Summer 1954, p. 103-13.
- Lobell, Nathan D. Revision of the Securities act. Columbia law review, v. 48, April 1948, p. 313-40.
- Loss, Louis. History of securities regulation. Financial world, v. 98, section 1, Oct. 1, 1952, p. 18, 130, plus.
- Loss, Louis. Securities regulation. Boston, Mass., Little, Brown and co., 1951. 1283p.
- MacChesney, Brunson and O'Brien, Robert H. Full disclosure under the Securities act. Law and contemporary problems, April 1937, p. 133-53.
- McCormick, Edward T. Financial statements the bridge between disclosure and information. (In American institute of accountants. How to improve accounting and tax service to American business. cl950. p. 41-6.)
- McCormick, Edward T. Some problems of Securities act administration. (Address to the Harvard law school association of Philadelphia, Nov. 28, 1950) 14 mimeo. pages.
- McCormick, Edward T. Understanding the Securities act and the S.E.C. New York, American book co., c1948. 327p.

- McDonald, Harry A. Accountant's language the world's business. (In Certified public accountants association of Ontario. Report of 25th anniversary meeting and dinner, Oct. 20, 1950. p. 9-19.)
- McDonald, Harry A. How cooperation in development of accounting principles by SEC and profession helps investors.

  Journal of accountancy, v. 91, March 1951, p. 411-15.
- McGuire, Harold F. Administration of the securities and exchange acts. (In Controllers in titute of America. Controllership problems no. 7 of annual proceedings, 1943. p. 269-74.)
- McKesson and Robbins case S.E.C. investigation Institute's position Attorney general's meeting Possible improvement in suditing Evolution of auditing Publicity and the future. (Editorial) Journal of accountancy, Feb. 1939, p. 65-9.
- Margraf, Gustav B. Does securities regulation hinder financing small business. Law and contemporary problems, v. 11, Summer-Autumn 1945, p. 301-19.
- Mathews, George C. Accounting in relation to regulation of security sales. (In Onio state university. Proceedings of the first Institute on accounting, May 20 and 21, 1938. p. 102-14.) Accounting review, Sept. 1938, p. 225-33.
- Mathews, George C. Discussion of certain questions relating to the Securities act and Securities exchange act; delivered before the Illinois society of certified public accountants at Chicago, Ill., Jan. 18, 1935. 29p.
- May, George 0. Accounting and regulation. (In his Financial accounting. 1943. p. 254-65.) Journal of accountancy, Oct. 1943, p. 295-301.
- May, George O. Accounting and regulation. (In his Accounting as a social force (1944). p. 37-47.)
- May, George 0. Accounting principles and regulatory expediency. Journal of accountancy, Feb. 1941, p. 116-18.
- May, George 0. National securities exchange bill; senate 2693, memorandum submitted to the Senate committee on banking and currency, March 10, 1934. 13p.
- May, George 0. Position of accountants under the Securities act. Journal of accountancy, Jan. 1934, p. 9-23.
- May, George O. Regulation of securities. (In his Twenty-five years of accounting responsibility, 1911-1936. v. 2. p. 49-123.)

- Meyer, Charles H. Securities exchange act of 1934, analyzed and explained. New York, Francis Emory Fitch, inc., c1934, 251p.
- Morris, George Maurice. Federal securities act as it applies to accountants; address...before the annual convention of the Ohio society of certified public accountants at Columbus, Ohio. New fork, Commerce clearing house, inc., c1935. 15p.
- Motley, Warren, Jackson, Charles, Jr., and Burnard, John, Jr. Federal regulation of investment companies since 1940. Harvard law review, v. 63, May 1950, p. 1134-56.
- Murphy, Mary E. American accountant and the S.E.C. Accountancy (Eng.), July 1942, p. 171; Aug. 1942, p. 191-2.
- National industrial conference board. Effects of SEC requirements on financing. Conference board business record, v. 2, Aug. 1945, p. 259-65.
- Neff, Harold H. SEC making progress in revision of rules affecting registration. Controller, Feb. 1939, p. 52-9.
- New look at the SEC. (Editorial) Journal of accountancy, v. 97, June 1954, p. 674-6.
  - Norris, Lester E. Regulation T under the Securities exchange act. L.R.B. & M. journal, Nov. 1934, p. 1-8, 20.
  - Norris, Lester E. Restrictions on investments by banks. L.R.B. & M. journal, March 1936, p. 1-3, 23.
  - Opinion by securities commission's chief accountant may set accounting standards. Controller, May 1937, p. 130.
  - Owens, Richard Norman. Practices of investment companies and their regulation. (In his Business organization and combination. ed. 4. 1951. p. 262-72.)
- Owens, Richard Norman. Aegulation of issue and sale of securities. (In his Business organization and combination. ed. 4. 1951. p. 177-94.)
- Palmer, Leslie E., and Bell, William H. Working papers relating to reports prepared for filing with the Securities and exchange commission. (In their Accountants working papers. ed. 3. c1950. p. 241-58.)
- Pantaleoni, Guido, Jr. Corporate fiduciaries and the Securities act; recognition and avoidance of liabilities of the trustee.

  Trust companies, Oct. 1933, p. 377-80, 436-7.
- Pantaleoni, Guido, Jr. Securities act defeats its own purpose. Trust companies, Dec. 1933, p. 597-601.

- Paton, William A. Should the SEC continue to "study" willity system operations? Public utilities fortnightly, v. 50, Oct. 9, 1952, p. 473-85.
- Payne, Robert E. Effect of recent laws on accountancy. Accounting review, March 1935, p. 84-95.
- Payne, Robert B. Securities act of 1933; delivered before the Milsouri society of certified public accountants and Investment bankers of Kansas City, Wednesday, Oct. 11, 1933. 20 typewritten pages.
- Pelcubet, Maurice E. New concepts of accounting responsibilities. (In American management association. Problems of accounting responsibility. cl941. p. 16-23.) New York certified public accountant, March 1941, p. 349-55. Controller, April 1941, p. 137-9, 142.
- Practice before the SEC. (Editorial) Journal of accountancy, Sept. 1938, p. 137-8; May 1948, p. 365.
- Practice before the Securities and exchange commission. Bulletin of the American institute of accountants, July 1936, p. 17-20.
- Practice problems of accountants in connection with registration statements; a round table. (In American institute of accountants. Fiftieth anniversary celebration. 1937. p. 313-33.)
- Prankard, Marry I. Effect of recent Securities and exchange commission regulations on financial statements of investment companies. New York certified public accountant, June 1941, p. 556-61.
- Preger, P.D. Problems of industrial accountants public utility accountant's view. (In Accounting institute. Current problems in accounting...1941. p. 82-7.)
- Preston, Jerome. Is SEC drifting off course? Public utilities fortnightly, Sept. 24, 1942, p. 399-410.
- Randall, George w. Impact of the b.E.C. on accounting and auditing. Alabama CPA, v. 4, no. 1, p. 21-5.
- Rappaport, Louis H. Accounting at the S.L.C.; a department. See issues of the New York certified public accountant beginning with the Dec. 1949 number.
- Rappaport, Louis H. Accountants liability under the Securities act of 1933. L.R.B. & M. journal, v. 32, Oct. 1951, p. 2-4, 13.
- Rappaport, Louis H. Accountant's responsibility for events occurring after the statement date: the Shonts case.

- Rappaport, Louis H. Proposed extension of the Securities exchange act of 1934 to certain unregistered corporations. L.R.B. & M. journal, v. 31, April 1950, p. 17-19, 21.
- Rappaport, L. H. Recent changes in registration statement forms under Securities act of 1933. L.R.B. & M. journal, v. 28, Jan. 1947, p. 1-6, 21.
- Rappaport, Louis H. Recent SEC developments in accounting. L.R.B. & M. journal, March 1942, p. 2-8.
- Relations with the S.E.C. (Editorial) Journal of accountancy, July 1938, p. 1-2.
- Reporting income under new SEC regulations 8-X. Journal of accountancy, v. 91, Feb. 1951, p. 227-8.
- Rich, Wiley Daniel. Civil liabilities of accountants under the Securities act. Journal of accountancy, June 1938, p. 488-97.
- SEC releases on independence of public accountants. (Editorial)
  Journal of accountancy, v. 77, March 1944, p. 179-81.
- SEC reports on accounting. (Editorial) Journal of accountancy, v. 87, May 1949, p. 363-4.
- SEC seeks control of unlisted companies. (Editorial) Journal of accountancy, v. 82, Aug. 1946, p. 93-5.
- SEC would require more information as a result of changes in many forms required to be filled with the Commission.

  (Technical and professional notes) Journal of accountancy, v. 87, April 1939, p. 333-4.
- Sanders, Thomas H. Accounting as a means of social and economic control. New York, National association association of cost accountants, Dec. 1, 1944. (N.A.C.A. bulletin, v. 26, no. 7, section 1, p. 319-23)
- Sanders, Thomas H. Accounting aspects of the securities act. Law and contemporary problems, April 1937, p. 191-217.
- Sanders, Thomas H. Corporate information required by federal security legislation. New York certified public accountant, April 1935, p. 9-22.
- Banders, Thomas H. Review of reviews of accounting progress.

  Journal of accountancy, v. 81, Jan. 1946, p. 9-26.
- Saxe, Emanuel. Accountancy advances; current accounting thought as revealed in the S.E.C. releases and court decisions.
  Accounting forum, Nov. 1940, p. 24-8; April 1941, p. 32-34, 55.

- Short, Frank G. Accounting for the issuance of shares for assets under the decisions of the Securities and exchange commission.

  Journal of accountancy, May 1939, p. 262-72.
- Smith, C. Aubrey. Accounting practice under the Securities and exchange commission. Accounting review, Dec. 1935, p. 325-32.
- Smith, Frank P. Accounting provisions of the investment company act. Accounting review, March 1941, p. 1-7.
- Smith, F. P. Stock exchange margins. Accounting review, Dec. 1934, p. 300-3.
- Smith, Russell A. Relation of federal and state securities laws. Law and contemporary problems, April 1937, p. 241-5.
- Some interesting comments on what Securities commission expects. Controller, Jan. 1935, p. 12-20.
- Sorg, H. Theodore. Legal relationship of the accountant to the investor; address before the New York state society of certified public accountants, May 14, 1934. New York, New York state society of certified public accountants, 1934. 1lp. Bulletin of the New York state society of certified public accountants, July 1934, p. 7-22. ertified public accountant, June 1934, p. 345-52.
- Standardization in accounting and individual professional judgment. (Editorial) Journal of accountancy, v. 97, Jan. 1954, p. 36-7.
- Starkey, Rodney F. Practice under the Securities act of 1933 and the Securities exchange act of 1934; from the viewpoint of the accountant. Journal of accountancy, Dec. 1934, p. 431-47.
- State statutes in administrative procedure an accountant's privilege; before the Securities and exchange commission, trial examiner's report. Lawyer, Nov. 1941, p. 4-8.
- Stempf, Victor H. S.E.C. and the accountant. New York pertified public accountant, April 1938, p. 12-16.
- Stewart, Andrew. Accountancy and regulatory bodies in the United States. (In American institute of accountants. Fiftieth anniversary celebration. 1937. p. 133-59.)

  Journal of accountancy, Jan. 1938, p. 33-60.
- Stewart, Andrew. Work of the professional accountant under the Securities act of 1933. New York certified public accountant, May 1941, p. 459-66.
- Suspension of accountants by SEC a dangerous precedent. (Editorial)
  Journal of accountancy, v. 95, Jan. 1953, p. 33-4.

- Sweet, homer N. Amended requirements for financial statements prescribed by the Securities and exchange commission in regulation S-X. Journal of accountancy, March 1940, p. 167-74.
- Talbot, Cyril. Ancreasing responsibility to and closer regulation by federal bodies. (In American institute of accountants, Papers on accounting principles and procedure, 1938, p. 210-15.)
- Taylor, J. R. Some antecedents of the Securities and exchange commission. Accounting review, June 1941, p. 188-96.
- Ten years of SEC. (Editorial) Journal of accountancy, v. 79, June 1945, p. 427-8.
- \*Terrorism\* in S.E.C. (Editorial) Journal of accountancy, March 1942, p. 196-7.
- Thompson, Thomas F. Consolidation rules of the Securities and exchange commission. New York certified public accountant, Jan. 1942, p. 254-63.
- Three years of the Securities act. Law and contemporary problems, Jan. 1937, part I; April 1937, part II.
- Throop, Allen E. and Lane, Chester T. Some problems of exemption under the Securities act of 1933. Law and contemporary problems, Jan. 1937, p. 89-127.
- Trachsel, Herman H. Public utility holding companies. (In his Public utility regulation. 1947. p. 375-412.)
- Tremper, Edward P. Accountant auditing statement of SEC-registered company has a responsibility to public as well as to management. (Technical and professional notes) Journal of accountancy, v. 97, Feb. 1954, p. 214-15.
- Tucker, R. S. Government control of investments and speculation. American economic review, Supplement, March 1935, p. 140-50; discussion, p. 151-5.
- Twentieth century fund, inc. Stock market control. (In its Security markets. 1935. p. 663-99.)
- Securities and exchange commission. Annual reports 1935-1954. Washington, D.C., Government printing office.
- Valuation by the SEC in reorganizations. (Notes) Harvard law review, Nov. 1941, p. 125-34.
- Warning to auditors. (Editorial) Journal of accountancy, v. 81, June 1946, p. 447-8.

- Waterman, Merwin A. Economic implications of public utility holding company operations, with particular reference to the reasonableness of the "death sentence" clause of the public utility holding company act. Ann Arbor, Mich., University of Michigan, School of business administration, Bureau of business research, 1941.

  180p. (Michigan business studies, vol. 9, no. 5)
- Watson, Albert J. Practice under the Securities exchange act. Journal of accountancy, June 1934, p. 434-45.
- Watson, Deneen A. Securities act of 1933 from the viewpoint of the state securities commissioner. Certified public accountant, Oct. 1933, p. 599-604.
- Weidenhammer, Robert. Accountant and the securities act. Accounting review, Dec. 1933, p. 272-8.
- Wellington, C. Oliver. Government regulation of business the viewpoint of the accountant. Current business studies, March 1953, p. 19-20.
- Wellington, C. Oliver. Relation of accountants to the Securities act and proposed bills for regulating securities exchanges; address before the New York state society of certified public accountants, May 14, 1934. 6p.
- Werntz, William W. Accounting for security holders and creditors. Robert Morris associates monthly bulletin, Nov. 1942, p. 143-53.
- Werntz, William W. Accounting requirements of the Securities and exchange commission; address before the Texas society of certified public accountants, at Dallas, Texas, June 13, 1940.

  Washington, D.C., Securities and exchange commission, 1940.

  10 mimeo. pages. (In American transit association.

  Proceedings, 1940. p. 191-206.)
- Werntz, William W. Approach to accounting problems. New York, National association of cost accountants, Jan. 15, 1939. (N.A.C.A. bulletin, v. 20, no. 10, section 1, p. 575-89.)
- Werntz, William W. Financial data available to investors in unregistered securities. (Address before the Philadelphia chapter, Pennsylvania institute of certified public accountants, Oct. 23, 1946, and Sigma Keopa Phi, University of Pennsylvania, Oct. 29, 1946.) 13p.
- Werntz, William W. Government's responsibility for the regulation of accounting reports; address before the accounting symposium bicentennial conference of the University of Pennsylvania, September 16, 1940. Washington, D.C., Securities and exchange commission, 1940. 7 mimeo. pages. (In Pennsylvania, University of. Studies in economics and industrial relations. 1941. p. 131-40.)

- Werntz, William W. Impact of federal legislation upon accounting. Accounting review, v. 28, April 1953, p. 159-69.
- Werntz, William W. Influence of administrative agencies on accounting. (In Backer, Morton, ed. Handbook of modern accounting theory. 1955. p. 99-118.)
- Werntz, William W. Influence of the Securities and exchange commission on standards of corporate accounting. Journal of business (University of Iowa), Feb. 1942, p. 4-6, 13-14.
- Werntz, William W. Influences of administrative agencies on accounting in the United States. Accounting research (Eng.), v. 4, Jan. 1953, p. 77-95.
- Werntz, William W. Methods of selecting controllers of investment companies prescribed by recently enacted law. Controller, Nov. 1940, p. 382-5.
- Wernts, William W. Relation of accounting statements and reports to security issues; address before the Third national accounting conference, Edison electric institute, Chicago, November 15, 1939. Edison electric institute bulletin, Nov. 1939, p. 537-8, 542.
- Werntz, William W. Viewpoint of the Securities and exchange commission on internal auditing. Journal of accountancy, Dec. 1943, p. 470-8.
- Werntz, William W. What does the Securities and exchange commission expect of the independent auditor? (In American institute of accountants. Papers on auditing procedure and other accounting subjects. 1939. p. 17-26.)
- Werntz, William W., and Rickard, Edmund B. Requirements of the Securities and exchange commission. (In American institute of accountants. Contemporary accounting. c1945. chapter 38.)
- Whitney, Richard. Statement of Richard Whitney, president of New York stock exchange in regard to the "National securities exchange act of 1934" as amended (H.R. 8720), March 22, 1934. New York, New York stock exchange, 1934.
- Wienshienk, Ralph. Accountants and the law. University of Pennsylvania law review, Oct. 1947.
- Wilcox, Edward B. hesponsibility of public accountants to the S.E.C. Illinois certified public accountant, v. 12, March 1950, p. 18-21.